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A novel dielectric waveguide is proposed for use in millimeter-wave integrated circuits. Radiation losses of this waveguide at curved sections are considerably less than those of the image guide.

### INTRODUCTION

This paper proposes a new type of open dielectric waveguide which reduces the radiation loss at curved sections in dielectric integrated circuits<sup>1,2,3</sup>. Actual Ka-band (26.5 to 40 GHz) test setups of straight and curved sections of trapped image guides are photographed in Fig. 1. Metal side walls to create the trough may be removed when an image guide setup is desired\*. Two transitions are provided for connecting the setups to conventional rectangular metal waveguides. These transitions have originally been designed for image guides (Fig. 1 without side walls). Therefore, we introduced tapers on the metal side walls to provide smooth transition to the trapped image guide. In what follows, we provide an approximate theoretical analysis of waveguiding characteristics in the trapped image guide and the results are compared with experimental data. Some experimental investigations on the radiation loss characteristics at the bend are reported. Some physical explanation findings have been attempted.

### ANALYSIS OF THE PROPAGATION CHARACTERISTICS

The cross section of the trapped image guide is depicted in Fig. 2. It is basically an image guide placed in a metal trough. In integrated circuit applications, almost all the bends are in the sideward direction. In ordinary image guides, the electromagnetic energy escapes from the guide as a propagating wave in the sideward direction at the bend. In the trapped image guide, such a leakage will be mostly reflected back to the dielectric portion by the metal walls if their height (the depth of the trough)  $h$  is reasonably large. As long as the waveguide is operated in the single mode region, the reflected energy will couple to the guided mode once again. Of course, an excessively large  $h$  is not very practical in actual integrated circuit applications.

The complete field components in the straight section of this waveguide may be derived from two field components

$$E_y = \frac{1}{\epsilon_1(y)} \left( k_z^2 - \frac{\partial^2}{\partial x^2} \right) \phi^e \quad (1)$$

$$H_y = \left( k_z^2 - \frac{\partial^2}{\partial x^2} \right) \phi^h \quad (2)$$

where  $\epsilon_1$  is the relative dielectric constant in each constituent region in Fig. 2,  $k_z$  is the propagation

\* In practice, the trough may be readily created by machining once the circuit pattern of the dielectric waveguide circuit is determined.

constant and  $\phi^e$  and  $\phi^h$  are two scalar potentials. Since the structure is very similar to the image guide, we classify guided modes into  $E^y$  and  $E^x$  types. In the former  $E_y$  and  $H_x$  are predominant field components and we neglect  $H_y$  by setting  $\phi^h = 0$ . Next, we assume that the metal wall height  $h$  is reasonably large and the electromagnetic wave is reasonably well guided. Then, it is possible to neglect the fields in Regions 7 and 8 in Fig. 2. This assumption allows us to mathematically increase  $h$  to infinity. When this is done, we can divide the cross section into three vertical regions: 3 and 5, 1 and 2, and 4 and 6. We will apply the method of effective dielectric constants (EDC) to this hypothetical structure<sup>3</sup>. The EDC,  $\epsilon_{ed}$ , of the central region (1 and 2) for the vertically polarized ( $E^y$ ) modes may be computed by the standard method. The EDC's for 3 and 5 and 4 and 6 regions are assumed to be one. Next, we replace the hypothetical structure with another hypothetical one, consisting of a vertical slab of width  $2a$  and dielectric constant  $\epsilon_{ed}$  sandwiched between air regions of width  $c$  which are in turn terminated by vertical metal walls at  $x = \pm(a + c)$ . Notice that we now have a vertical two dimensional structure which is closed in the sideward direction. By solving the eigenvalue equation of this final hypothetical structure for the phase constant  $k_x$  in the slab region, we obtain the propagation constant of the guided mode from

$$k_z = \sqrt{\epsilon_{ed} k_0^2 - k_x^2}$$

The method presented above is only an approximation. It is expected that the results are accurate at higher frequencies where the field is well guided. However, at lower frequencies, the results are less accurate because then the field extends to the Regions 7 and 8 and the assumption that  $h$  is infinite no longer applies.

Some numerical results are plotted in Fig. 3 on which experimental data are also indicated. In the computation, the value of  $c$  was varied and dispersion characteristics calculated. Also, the results for the ordinary image guide ( $c \rightarrow \infty$ ) are included. It is noticed that all the results for the trapped image guide approach those for the image guide at higher frequencies because most of the energy is in the dielectric rod and the effect of the wall becomes smaller. At lower frequencies the effect of the wall becomes dominant. The propagation constant  $\beta$  approaches the cutoff value (free space wavelength  $k$ ) faster than the image guide case. The smaller the value of  $c$ , the more pronounced the effect of the walls is. Actually, it is expected that the true value of  $\beta$  should be larger than the computed one near the cutoff, because there our assumption no longer holds. Such is clearly indicated by comparison between computed and experimental results for  $c = 1$  mm case in the 25 ~ 30 GHz range. We also notice that all other experimental

data qualitatively agree well with theoretical prediction. The experimental results at higher frequencies and those for image guide have some quantitative discrepancy with computed data. It is believed that the cause is in the experimental process which is quite sensitive to the perturbations applied to create standing wave patterns used to measure the guide wavelength.

Experimental results for transmission loss of a straight section of the waveguide are plotted in Fig. 4. The results include the loss and reflection at the launcher (transition) from (to) the conventional metal waveguide; and in the trapped image guide case, tapered transitions from the image guide. From Figures 3 and 4, we find that the propagation characteristics are almost unaffected by the trough over  $27 \sim 40$  GHz if  $c = 4$  mm. For smaller  $c$  values, the transmission loss increases at lower frequencies. This is because of larger scattering at transitions due to larger difference in  $\beta$  between the image and trapped image guides. The scattering loss is largely in the form of radiation at the transition. However, no radiation has been detected from the trapped image guide section for any value of  $c$ .

#### RADIATION AT CURVED SECTIONS

Next, we investigated characteristics of a curved section of the trapped image guide. An example, we used a  $90^\circ$  bend of center radius 63.7 mm. The launchers from the metal to image guides are identical to the ones used for the measurement of the straight section. Similarly tapered transitions are used from the image guide to the trapped image guide. We studied the following setups: an image guide ( $c \rightarrow \infty$ ) and trapped image guides of  $c = 2$  and 4 mm. In the two latter cases, we also removed the inside walls of the trap, as we believe the radiation at the bend is generally directed to the outer side, or away from the center of the curvature. These two structures are labeled with a subscript  $a$ , such as  $c_a$ .

The transmission characteristics of a curved section are plotted in Fig. 5. It is noticed from the figure that, at lower frequencies where the effect of the trap is more pronounced, the transmission characteristics of the image guide are improved except for the  $c = 2$  mm case where it is believed that the scattering at the transition is quite strong. When we remove the inside wall, the loss decreases considerably as shown by  $c_a = 2$  mm curve. Since  $c_a = 4$  mm case is indistinguishable on the graph, only  $c = 4$  mm case is shown. Notice that the transmission characteristics in Fig. 5 include the scattering loss at transitions from the image guide to the trapped image guide.

Since radiation also occurs at the transition from the image guide to the trapped image guide, we find that the radiation loss caused in the curved section itself should be smaller than those in Fig. 5. To confirm our argument, we have measured two other quantities. The first is the reflection toward the microwave source from the dielectric waveguide setup including the transitions. The results indicate that the reflection is generally quite small (less than -20 dB) and its characteristics do not depend on the different arrangement of the dielectric waveguide. This fact dictates that most of the energy scattered in the dielectric waveguide section is radiated and is not coupled to the metal waveguide mode through the transition.

The second quantity we measured is the radiated energy. Since the radiation occurs at several

different locations, viz., at the transition to the image guide, at the image guide to trapped image guide transition and finally at the bend, it is desirable to distinguish these components. Although complete distinction is not possible, we tried to receive the energy radiated at the bend most strongly. To this end, we placed a standard gain horn at about 5 cm away from the outer wall of the image guide wall location. The axis of the horn is on the radius of curvature intersecting the midpoint of the curved section. Therefore, the horn is looking at the setup in a symmetric manner.

Fig. 6 shows the frequency characteristics of the radiated power captured by the horn. Only the results for  $c = 4$  mm case and the image guide case ( $c \rightarrow \infty$ ) are plotted to avoid crowded drawings as other case, i.e.,  $c = 2$ ,  $c_a = 2$  and  $c_a = 4$  mm gave results similar to those for  $c = 4$  mm case. At lower frequencies, we see considerable reduction in radiation by the presence of the trough walls. It is, however, not possible to quantitatively compare different trapped image guide setups with each other, because radiations from several different sources are intermixed and the relative contributions from these sources will not be identical in each case.

#### CONCLUSIONS

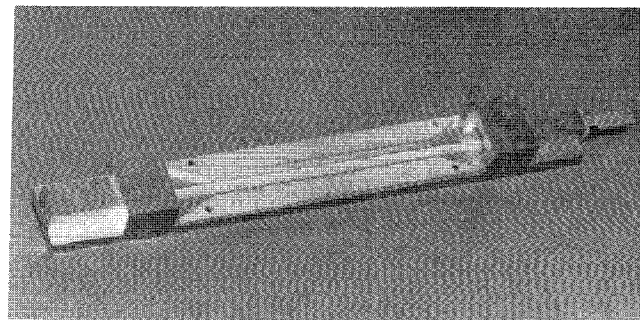
We proposed a novel trapped image guide for millimeter wave applications for the purpose of reducing the radiation loss at curved sections. Fundamental propagation characteristics are obtained by an approximate theory. Numerical results are compared with measured data. Investigations of radiation loss at a  $90^\circ$  curved section are included.

#### ACKNOWLEDGMENT

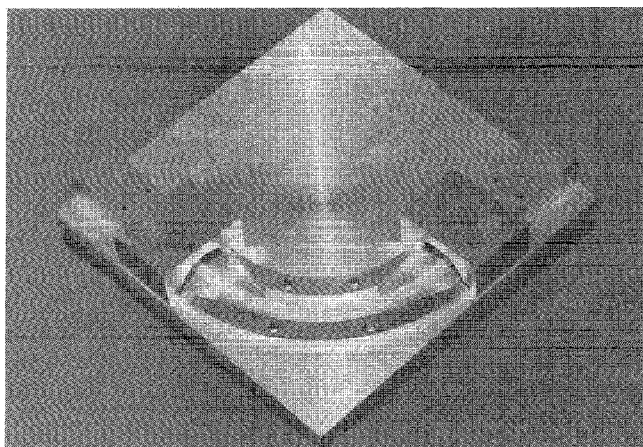
This work was in part supported by a U.S. Army Research Grant DAAG29-78-G-0145.

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(a)



(b)

Figure 1 Trapped Image Guide Structures

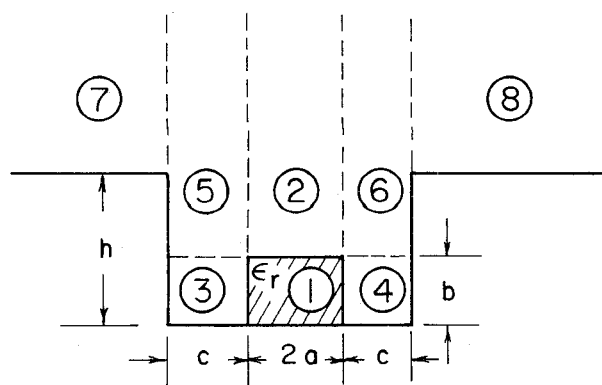


Figure 2 Cross Section of the Trapped Image Guide

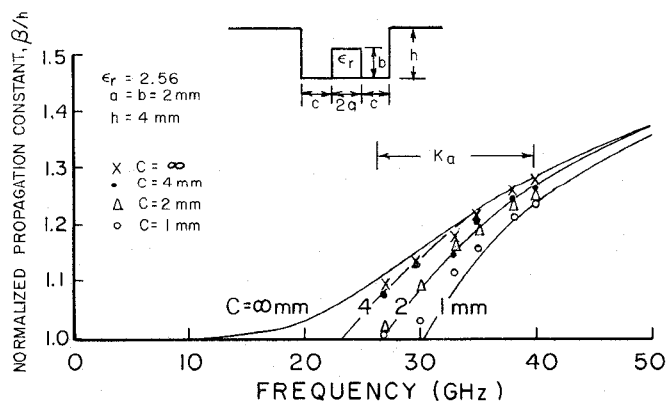


Figure 3 Theoretical and Experimental Dispersion Characteristics

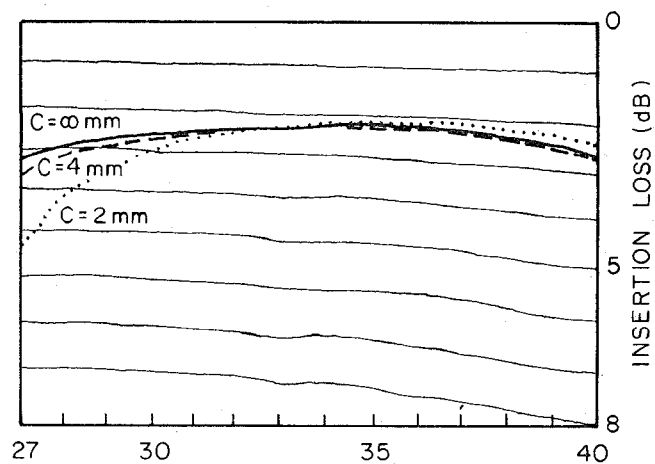


Figure 4 Transmission loss in trapped image guides: The length between the transitions is 20 cm

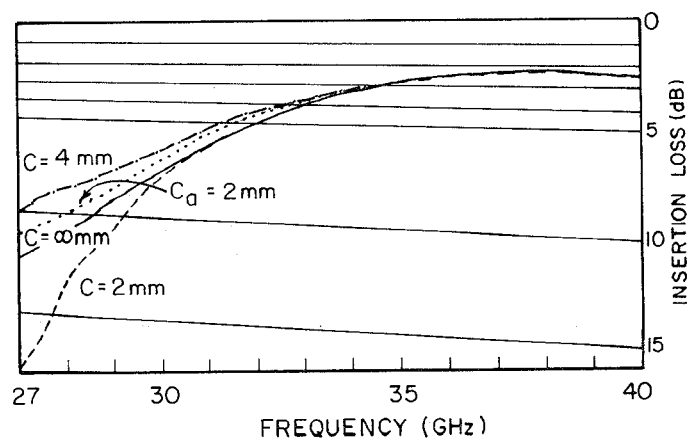


Figure 5 Transmission loss in a curved section of the trapped image guide

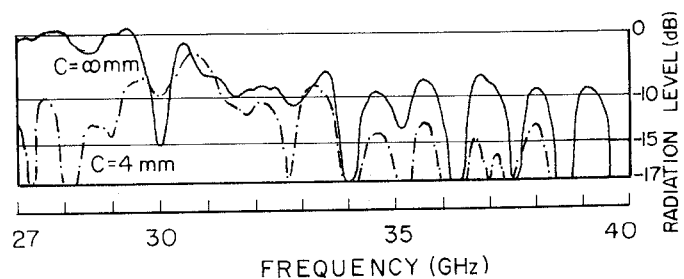


Figure 6 Radiation loss from a curved section of the trapped image guide